

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Application No.:** 09/982,709  
**Date Filed:** October 18, 2001  
**Title:** TWO SIDED TRADING ORDERS  
**Applicants:** Andrew C. Gilbert et al.  
**Group Art Unit:** 3685  
**Confirmation No.:** 8648  
**Examiner:** John M. Winter

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants submit herewith patents, publications or other information of which they are aware that they believe may be material to the patentability of this application, and in respect of which, there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as (i) a representation that a thorough search has been made; (ii) an admission that the information cited is, or is considered to be material to patentability; (iii) an admission that no other material information exists; or (iv) an admission that Applicants agree with any statement(s) in the information cited. Nor shall the filing of this information disclosure statement be construed as an admission against interest in any manner.

This Information Disclosure Statement is filed in accordance with 37 C.F.R. §§ 1.56, 1.97, and 1.98. The items listed on the accompanying PTO Form-1449 may be pertinent to the above-identified application and are made of record to assist the Patent and Trademark Office in its examination of this application.

The Examiner is respectfully requested to fully consider the items listed on the enclosed PTO Form-1449, to independently ascertain their teaching, and to initial beside each reference listed. Please return a copy of the initialed citation form to the Applicants' undersigned representative.

### **Fees**

This Information Disclosure Statement is being filed at a time when a nominally-final Office Action has been mailed [after a Notice of Appeal], but when finality of that Office Action should be withdrawn, or when prosecution may be reopened on withdrawal or reversal of all pending rejections, or on filing of an RCE. In the event of reopening of prosecution, entry of this Information Disclosure Statement is proper on payment of a fee pursuant to 37 C.F.R. §1.97(c)(2). If and when the IDS is so entered, charge the fee due under C.F.R. §1.17(i)(1) to Deposit Account No. 50-3938.

Respectfully submitted,

July 6, 2009  
Date

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